



Identity Theft Worksheet

Developed to assist victim recovery, enhance investigation/prosecution, and inform community.

Identity Theft Involving an Investment Account

If your imposter compromised your investment account, contact your brokerage firm. You will be advised of the procedures for restoring your account.

File a complaint with the U.S. Securities and Exchange Commission (SEC). You have several options for filing a complaint:

- File it online by completing and submitting the *Investor Complaint Form* available at: <https://tts.sec.gov/acts-ics/do/complaint>
- Print the *Investor Complaint Form*. Complete the form and:

Fax it to: (202) 772-9295
OR

Mail it to: U.S. Securities and Exchange Commission
Office of Investor Education and Assistance
100 F Street, N.E.
Washington, DC 20549-0213

For more information, visit the SEC Investor Education and Assistance website at:

<http://www.sec.gov/investor.shtml>

The name and address of my brokerage firm is: _____

On _____ **I discovered that my account had been compromised.**
(Date)

My imposter: Withdrew funds without my knowledge _____

Changed the address on the account to divert the statements _____

Closed the account without my knowledge _____

On _____ **I contacted my brokerage firm to report the crime.**
(Date)

Name of contact person: _____

Contact telephone number: _____

Contact email address: _____

Write down the instructions you received from your broker. Record the actions you took and the dates completed. Keep copies of all written correspondence and a log of all telephone calls.

On _____ I filed a complaint with the Security Exchange Commission.
(Date)

I filed the complaint: Online _____

By fax _____

By mail _____